



James Brearley ISA Application Form

I wish to subscribe for a Flexible Stocks & Shares ISA for the tax year / and each subsequent year until further notice.

I wish to transfer to a Flexible Stocks and Shares ISA administered by James Brearley.

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|--------|----------|--------------|
| Title: | Surname: | First Names: |
|--------|----------|--------------|

Permanent Residential Address:

Postcode:

| | | |
|----------------|--------|----------------------------|
| Date of Birth: | Email: | National Insurance Number: |
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Payment details: Cheques to be made payable to James Brearley ISA A/C. Payment may also be made using your debit card or using our on-line facility. Please contact your regional office for further details.

ISA TERMS AND CONDITIONS

By signing and submitting this ISA Application Form you agree to the "Terms" as outlined in our Services, Terms & Conditions document, along with the following specific terms in respect of your ISA.

James Brearley is approved by the HM Revenue and Customs (HMRC) as an ISA Manager. In this capacity we will administer an ISA in accordance with the Individual Savings Account Regulations 1998 ("the Regulations"), or as subsequently amended. In the case of an inconsistency between the "Terms" and the provisions of the Regulations, the provisions of the Regulations will prevail. Failure to meet the obligations under the Regulations may cause the ISA to be void or need to be remedied by repair. Any such liability arising from the ISA being void or repaired will be borne by you. To the extent that the Regulations permit, we can make investments for you within an ISA. Not all investments are permitted to be held in an ISA.

The maximum amount that may be invested in an ISA is subject to limits set by HMRC for any particular tax year and this limit may change from one tax year to the next. Should you invest more than the rules allow the ISA will be void or need to be remedied by repair. We will notify you if by reason of any failure to satisfy the provisions of the Regulations an ISA has or will become void.

Subscriptions into the ISA must be in cash with the exception of stock arising from approved employee share savings related share option or profit sharing schemes which may be transferred into the ISA in accordance with the Regulations. The ISA investments will be, and must remain, the beneficial ownership of the investor and must not be used as security for a loan.

You have the right to transfer in part or all of an existing ISA from another ISA Manager or request that the investments within the ISA be transferred out to another ISA Manager. The transfer out fees stipulated in the "Terms" will apply. During a requested cash transfer of an existing ISA from one ISA Manager to James Brearley, funds will not be invested in the stockmarket. We do not accept any responsibility for any potential or actual loss that you may claim has occurred in such circumstances.

You may terminate your ISA by giving us notice in writing, requesting that we either transfer all investments to you or to sell the investments and remit the resulting sale proceeds to you. Termination of the ISA will be effective when written notice is received by us and all benefits and relief from tax will cease immediately. All fees (including a pro rata annual fee) and charges due to the point of termination of the ISA must be paid to us.

We may at our absolute discretion, terminate the ISA by giving at least 20 days' notice in writing to you.

DATA PROTECTION

All personal data supplied by the investor to James Brearley for the purposes of the ISA Plan Manager services:

- Will be processed by James Brearley as data controller under the Data Protection Legislation;
- Will be fairly and lawfully processed in accordance with James Brearley's privacy policy, a copy of which is available from our website, www.jbrearley.co.uk; and
- James Brearley will at all times comply with the obligations imposed on a data controller under the Data Protection Legislation in relation to such personal data.

The ISA Plan Manager services are:

- ensure your investments are administered in accordance with the ISA regulations.
- To claim repayment of income tax deducted at source.

ISA DECLARATION

I declare that I am aged 18 or over; that all subscriptions made, and to be made, belong to me; that I have not subscribed/made payments and will not subscribe/make payments, more than the overall subscription/payment limit in total to a cash ISA, a stocks and shares ISA, an innovative finance ISA, and a Lifetime ISA in the same tax year; that I am resident in the United Kingdom for tax purposes or, if not so resident, perform duties which by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees serving overseas) are treated as being performed in the United Kingdom, or I am married to or in a civil partnership with a person who performs such duties. I will inform James Brearley's if I cease to be so resident or to perform such duties, or be married to or in a civil partnership with a person who performs such duties. I authorise James Brearley's to hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; to make on my behalf any claims to relief from tax in respect of ISA investments, I agree to the ISA terms and conditions.

I declare that this application form has been completed to the best of my knowledge and belief.

Signature:

Date:

HEAD OFFICE
PO Box 34, Walpole House,
Unit 2, Burton Road,
Blackpool, FY4 4WX

Telephone: 01253 831100
Facsimile: 01253 831102
Email: enquiry@jbrearley.co.uk
Website: www.jbrearley.co.uk

CONTACT DETAILS

Blackpool: Tel: (01253) 831940 Email: blackpool@jbrearley.co.uk

Kendal: Tel: (01539) 733979 Email: kendal@jbrearley.co.uk

Preston: Tel: (01772) 318760 Email: preston@jbrearley.co.uk

Formby: Tel: (01704) 501511 Email: formby@jbrearley.co.uk

James Brearley is the trading name of James Brearley & Sons Ltd.
Registered in England and Wales. Company Registration Number: 03705135.
Registered Office: Walpole House, Unit 2, Burton Road, Blackpool FY4 4NW
Member of the London Stock Exchange. Authorised & Regulated by the Financial Conduct Authority
The Financial Conduct Authority, 12 Endeavour Square, London E20 1JNS.

James Brearley Isa V2_2020