



Possible Auxiliary Services for Intermediary Clients using the Platform Service- March, 2024

White Labelled Web Portal- an appropriately branded version of the Dealing Site as agreed by the respective customer, enabling clients to view their portfolio on a 24/7 basis. May come hand-in-hand with white labelled email notifications informing clients that they have documents in the secure mail facility.

VPN Link- supports the ability for up to 10 users per Intermediary firm, to access the Enquiry and Portfolio Manager areas of the Figaro system. Access is restricted strictly to the clients introduced by the Intermediary firm. Ideal for firms managing or advising on their client investment portfolios, in allowing the ability to utilise the rich wealth management related functionality of the Figaro system. Also supports the ability to upload bulk orders.

White Labelled Valuation Reports- the provision of customised quarter-end valuation report packs, either on “print” and/or “view” templates. These can also include a performance statement, chosen benchmarks subject to availability and ability to incorporate the Intermediary firm’s own market commentary.

White Labelled Contract Notes- where an Intermediary firm is charging its own dealing fees/brokerage on trades, a white labelled contract note will be issued, the fees/brokerage being calculated on the Intermediary firm’s agreed charges with their clients.

Overnight data files- we generate a series of standard overnight data files providing information relating to the Intermediary firm’s clients’ assets and transactions.

Online Apply facility- the provision of a white labelled online application facility be it for the Intermediary firm’s services or products.

Restricted Fund List- means the provision and maintenance of a tailored trading list of standard assets, specific to the Intermediary firm’s requirements and subsequent control.

White Labelled Secure Mail Facility- the ability for the Intermediary firm to issue communications to their clients, via the Dealing Site’s secure mail facility. white labelled email notifications to be issued informing them that mail is available for their consideration.